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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Attorney Docket No. 500.41295X00 UTILITY First Inventor PATENT APPLICATION KANEKIYO, TADAMITSU **TRANSMITTAL** Title PLASMA PROCESSING METHOD AND APPARATUS (Only for new nonprovisional applications under 37 CFR 1.53(b)) Express Mail Label No. Assistant Commissioner for Patents APPLICATION ELEMENTS **Box Patent Application** ADDRESS TO: Washington, DC 20231 SEE MPEP chapter 600 concerning utility patent application contents. 1. 🛛 Fee Transmittal Form (e.g., PTO/SB/17) CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix) 8. Nucleotide and/or Amino Acid Sequence Submission Applicant claims small entity status. fif applicable, all necessary) See 37 CFR 1.27. a. Computer Readable Form (CRF) b. Specification Sequence Listing on: 3. X Specification [Total Pages: 23] i. . D CD-ROM or CD-R (2 copies); or -Descriptive title of the invention -Cross Reference to Related Applications ii. . D paper -Statement Regarding Fed sponsored R & D -Reference to sequence listing, a table, c. Statements verifying identity of above copies or a computer program listing appendix -Background of the Invention **ACCOMPANYING APPLICATION PARTS** -Brief Summary of the Invention -Brief Description of the Drawings (if filed) -Detailed Description Assignment Papers (cover sheet & documents(s)) -Claim(s) -Abstract of the Disclosure 37 CFR 3.73(b) Statement Power of Attorney (when there is an assignee) 4. Drawing(s) (35 U.S.C. 113) [Total Pages: 8] English Translation Document (if applicable) 5. Oath or Declaration [Total Pages: \_\_ Information Disclosure Copies of IDS Citations Newly executed (original or copy) Statement (IDS)/PTO-1449 Copy from a prior application (37 CFR 1.63 (d)) 13. Preliminary Amendment (for continuation/divisional with Box 18 completed) 14. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) i DELETION OF INVENTOR(S) 15. Certified Copy of Priority Document(s) Signed statement attached deleting inventor(s) (if foreign priority is claimed) Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b) Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 6. Application Data Sheet. See 37 CFR 1.76 or its equivalent. Other: Figs. 1-4.5A-5D.6-11.12a-d.13a-c: Credit Card Payment Form, Information Disclosure Sheet Under 37 CFR 1.56 w/refs. 18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76: Continuation \_\_\_ Divisional Continuation-in-part (CIP) of prior application No.: Prior application information: Examiner: \_ Group Art Unit: \_ For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts. 19. CORRESPONDENCE ADDRESS 020457 Customer Number or Bar Code Label or Correspondence address below (Insert Customer No. or Attach bar code label here) ANTONELLI, TERRY, STOUT & KRAUS, LLP Name Address City State Zio Code Country Telephone Fax (703) 312-6600 (703) 312-6666 Registration No. (Attorney/Agent) Name Carly Brundidge 29,621 February 27, 2002 Signature Date

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## **FEE TRANSMITTAL** for FY 2002

\*\*or number previously paid, if greater; For Reissues, see above

Complete if Known				
Application Number	·			
Filing Date	February 27, 2002			
First Named Inventor	KANEKIYO, TADAMITSU			
Examiner Name				
Group Art Unit				

Patent fees are subject to annual revision. Attorney Docket No. 500.41295X00 **TOTAL AMOUNT OF PAYMENT** (\$) 992.00 METHOD OF PAYMENT FEE CALCULATION (continued) 3. ADDITIONAL FEES 1. The Commissioner is hereby authorized to charge indicated Small fees and credit overpayments to: Large Entity Entity Deposit Account Number 01-2135 Fee Fee Fee Fee Deposit Account Name Antonelli, Terry, Stout & Kraus, LLP (\$) **Fee Description** Fee Paid Code (\$) Code Charge Any Additional Fee Required Under 37 CFR 1.1 6 and 1.17 205 65 105 130 Surcharge - late filing fee or oath Applicant Claims small entity status. 127 50 227 -25 Surcharge - late provisional filing fee or See 37 CFR 1.27 cover sheet 2. 

Payment Enclosed: 139 130 139 130 Non-English specification Credit Card ■ Money Order 147 2,520 147 2,520 For filing a request for ex parte reexamination 920\* Requesting publication of SIR prior to 112 112 **FEE CALCULATION Examination action BASIC FILING FEE** 113 1,840\* 113 1,840\*Requesting publication of SIR after Large **Entity Small Entity** Examiner action Fee Fee Fee Fee Description Fee Paid 115 110 215 55 Extension for reply within first month Code (\$) Code (\$) 101 740 201 370 Utility filing fee 740.00 116 400 216 200 Extension for reply within second month 106 330 206 165 Design filing fee 117 920 217 460 Extension for reply within third month 207 107 255 510 Plant filing fee 118 1.440 218 720 Extension for reply within fourth month Extension for reply within fifth month 108 740 208 370 Reissue filing fee 128 1.960 228 980 160 214 80 Provisional filing fee 119 320 219 160 Notice of Appeal 114 320 120 220 160 Filing a brief in support of an appeal 740.00 SUBTOTAL (1) 121 280 221 Request for oral hearing 140 1. EXTRA CLAIM FEES 138 1,510 Petition to institute a public use proceeding 1,510 138 Fee from 140 110 Petition to revive - unavoidable 240 55 **Extra Claims** below Fee Paid 141 1.280 241 640 Petition to revive - unintentional = 0 Total Claims 9 -20\*\* x 18 142 1.280 242 640 Utility issue fee (or reissue) Indep. Claims 6-3\*\* = 3 x 84 = 252.00 143 460 230 Design issue fee 243 144 620 244 310 Plant issue fee Multiple Dependent 280 = 0.00122 130 122 130 Petitions to the Commissioner Small Entity Entity 123 50 123 50 Processing fee under 37 CFR 1.17(q) Fee Description Fee Fee Fee Fee 126 180 126 180 Submission of Information Disclosure Stmt Code (\$) Code (**\$**) 203 Claims in excess of 20 581 40 581 40 Recording each patent assignment per 103 18 property (times number of properties) 102 202 42 Independent claims in excess of 3 84 740 246 For each additional invention to be 146 370 examined (37 CFR § 1.129(a)) 104 280 204 140 Multiple dependent claim, if not paid 149 740 249 370 For each additional invention to be examined (37 CFR § 1.129(b)) 209 42 \*\* Reissue independent claims 109 84 over original patent Request for Continued Examination (RCE) 179 740 279 370 169 900 169 Request for expedited examination 110 18 210 9 \*\* Reissue claims in excess of 20 of a design application and over original patent **SUBTOTAL (2) \$ 252.00** Other fee (specify) SUBTOTAL (3) (\$) 0.00 \*Reduced by Basic Filing Fee Paid

SUBMITTED BY Complete (i			f applicable)		
Name (Print/Type)	Carl J. Brundidg	Registration No. (Attorney/Agent)	29,621	Telephone	703-312-6600
Signature				Date	February 27, 2002

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